

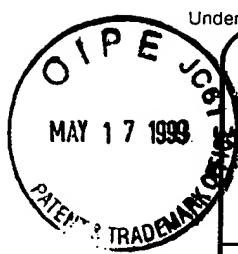
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PTO/SB/08A (10-96)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known

Application Number	09/087,574
Filing Date	May 29, 1998
First Named Inventor	Harrington
Group Art Unit	
Examiner Name	
Attorney Docket Number	DB000562-000

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	<i>Fran O'Hanlon Jr.</i>	Date Considered	8/18/99
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	PTO
				Filing Date	5/29/98
				First Named Inventor	Harrington, et al.
				Group Art Unit	551
				Examiner Name	J. S. 08/15/97
				Attorney Docket Number	DB000562-000
Sheet	1	of	2		

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	Frost O'Thompson Jr.	Date Considered	8/18/99
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Substitute for form 1449B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	641087-574
		Filing Date	29 May 1998
		First Named Inventor	Harrington, et al
		Group Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	DB000562-000

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>n</i>	<i>/</i>	RESNICK, Parity On-Line Bidding Program Wins Positive Reviews, The Bond Buyer, May 7, 1997 —	
<i>n</i>	<i>/</i>	Parity...and the Municipal Securities Issuer, author and date unknown —	
<i>n</i>		Parity brochure, Copyright 1992, 21st Century Municipals, Inc.	

Examiner Signature	Ford D. Langford	Date Considered	8/18/99
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

Complete if Known

Application Number	09/087,574
Filing Date	May 29, 1998
First Named Inventor	Harrington, et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	DB562

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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98 JUL 28 AM 9:30
GROUP 2700

**Examiner
Signature**

Forest Thompson

Date
Considered

8/18/99

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